



HR INTEROPERABILITY PROTOCOLS

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USERS' PROBLEM

- **Starting point: explain from the point of view of the Final User**



PRESENTATION OUTLINE

- **Terminologies**
- **Problem Statement**
- **State of the Art**
- **Solutions Implemented**
- **Conclusions & Next Steps**

TERMINOLOGIES

- **Protocol:**

a **set of rules** about how to format, transmit and receive data so computer network devices can communicate

- **Library:**

a **set of code** that packages the operations (it implements the functionality of the protocol)

- **API:**

a **set of methods** of communication among components that only expose objects or actions the developer needs

USER'S PROBLEM (1/2)

- **Healthcare sector** terms such as “Machine Learning”, “APIs” and “Blockchain”, promising to solve the challenge of maintaining and facilitating the exchange and sharing of healthcare information.
- **Medical information** is stored on **paper**, and when it has to be shared between providers, it happens by mail, fax or by the patients themselves, who often bring their files from appointment to appointment.
- When **exchanging healthcare information** and the exchanged **data is inaccurate**, this leads to:
 - Inefficiency
 - Errors
 - Avoidance of administrative burden
 - Costs
 - Impede the care that patients receive

Inconsistency in treatment plans, as Health records cannot accompany citizens during physicians' visit



“...the right data is not at the right time so providers cannot make the right decisions”



Patients cannot participate actively in their care



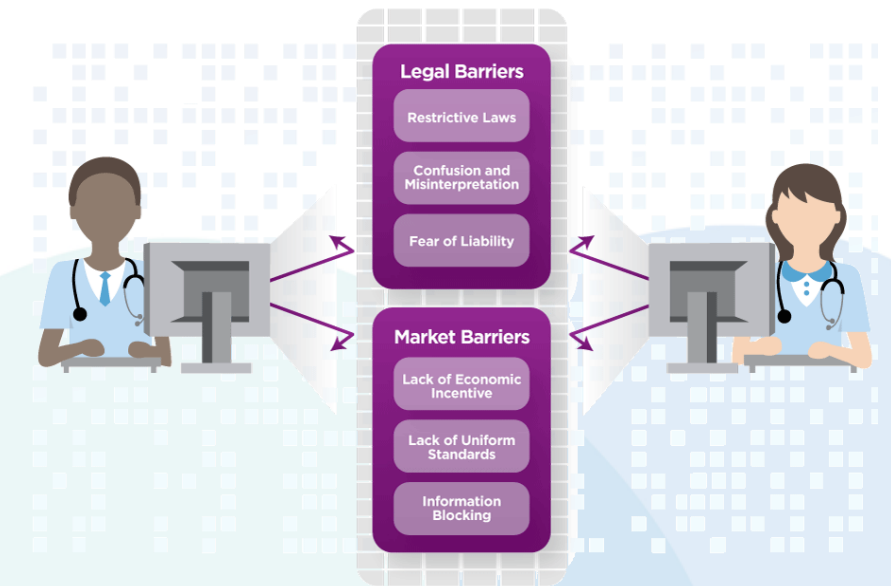
USER'S PROBLEM (2/2)

Citizens

- **Different web apps** to download documents from/to different hospitals/labs
- **Different mobile apps** to download/send structured data from/to different hospitals/labs
- **Country specific apps** to download/send health data from/to National EHR
- **No control** on completeness of health data
- **Third party health data** cannot be accessed without internet
- Do not want to have data stored in **cloud infrastructures**

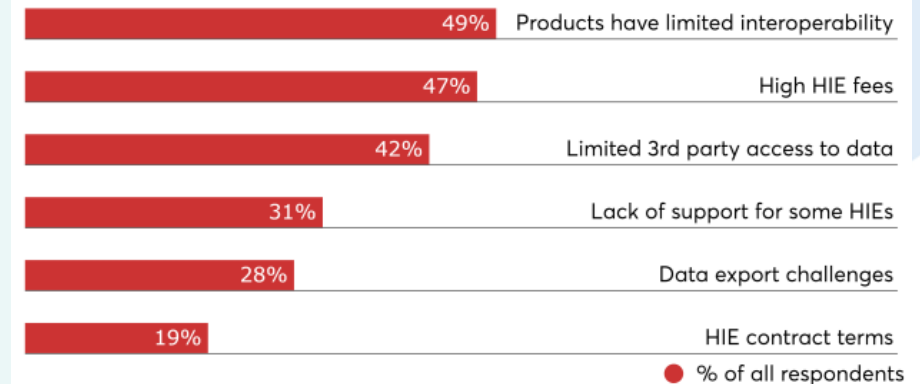
Healthcare Practitioners (HCPs)

- **Cannot access** health data produced in foreign countries
- **Third party health data** cannot be accessed without internet
- **Delays** accessing current patient data → major barrier to effective use of healthcare information
- **Increased costs** to set up connections to transmit information



Information exchange showstoppers

Survey of HIE professionals identifies what they see as top interoperability challenges



Source: University of Michigan study on interoperability

STATE OF THE ART (1/3)

Health Information Exchange (HIE)

- **Clinicians, nurses, pharmacists, healthcare providers and patients** to access and securely share medical information electronically, improving the **speed, quality, safety** and **cost** of patient care.

Current Status

Current Status of Health Information Exchange:

- **Focus** of: (a) modernizing the Centres for Medicare and Medicaid Services' EHR Incentive Program, and (b) Merit-Based Incentive Program (MIPS) Advancing Care Information (ACI) quality reporting category
- EU cases with direct exchange of information **only between Healthcare Institutions**
- Application vendors are **using different protocols** – upon specific agreements – for HIE
- **Multiple credentials to authenticate citizens** and Healthcare Institutions in the same countries
- **No cross border scenarios** for HIE in EU

Missing

- HIE between **Citizens and Healthcare Institutions**
- **Open Specification** protocols for HIE
- **Common authentication method** for different Healthcare Institutions (i.e. single set of credentials)
- **Common application** for every Healthcare Institution



STATE OF THE ART (2/3)

Device to Device (D2D) Data Exchange Systems

- Systems that provide **wireless connectivity** for **transferring data** from millimetres to a few hundreds of **meters**, providing **data exchange in absence of internet** and **constructing service access** in local areas.

Current Status

- Key requirements: **portable**, **easy** to install, **flexible** and eliminate the **cost of expensive wiring**
- Minimize the **power consumption** at the conditions of the transceiver, namely **transmitting**, **receiving** and **idle** states
- Multiple short-range wireless communication systems: **Bluetooth**, **BLE**, **NFC**, **RFID**, **ANT+**, **ZigBee**, **Wi-Fi Direct**

Missing

- Focus provided **to the security of exchanging data** and **not the user requirements and the current needs**
- **Techniques** for HIE in a short-range distance
- **Common protocol** for cross-border short range distance HIE
- Involvement of cases where **internet connection is not available**



STATE OF THE ART (3/3)

Remote to Device (R2D) Data Exchange Systems

- Secure **communication protocol** (and API), using **internet**, for cross-border exchange of health data among S-EHR and EHR applications.

Current Status

- **Government solutions:** current EU model is based on eHDSI, an infrastructure for cross-border health data exchange between national healthcare information systems of Member States.
- **Commercial solutions:** mobile apps based on proprietary protocols/ proprietary data representation provided to Citizen in order to collect personal health data (coming from heterogeneous sources).

Missing

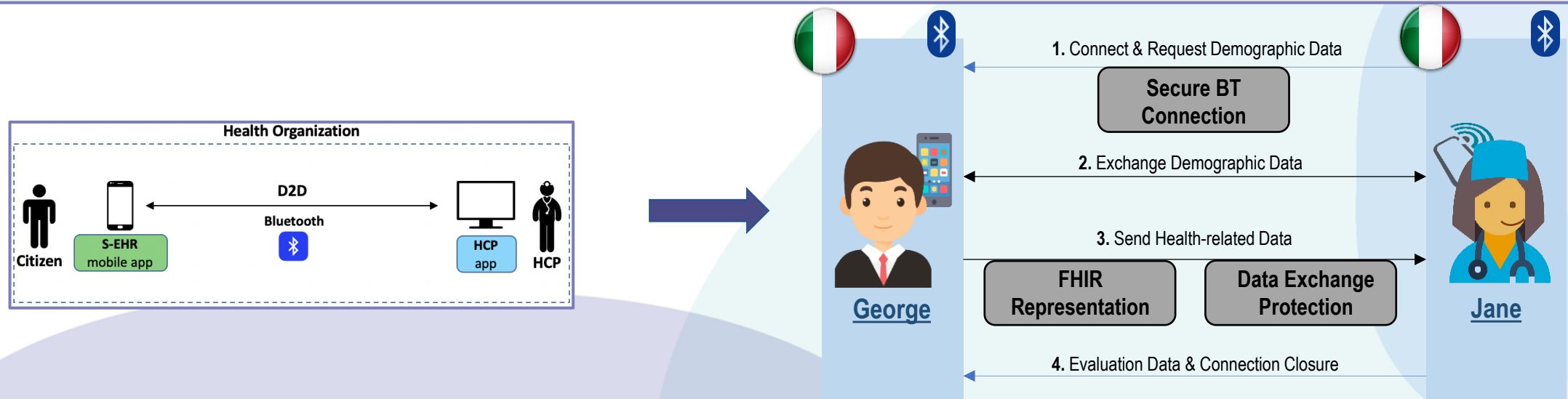
- **eHDSI** supports the exchange of data among healthcare organizations and not with citizens
- **eHDSI** defines its own ad hoc API and uses a standard data model representation (CDA)
- **Commercial apps** often require the healthcare organization to adopt proprietary products, APIs or authentication mechanisms, being limited to specific healthcare organizations



SOLUTIONS IMPLEMENTED (1/5)

D2D Protocol Specification

Series of **specified Bluetooth messages** regarding the **information that is exchanged** (e.g. in terms of successful or failed data exchange), including healthcare related data, between a **healthcare practitioner** and a **citizen**, without the usage of internet connection.



Novelties:

- Based on a **globally used short-range distance data exchange protocol (Bluetooth v4.0)**
- D2D protocol that can be **supported by the main market Operating Systems (Android, Apple)**
- **Secure and easy-to-use** data exchange process with **minimum user interactions**
- **Control** with whom **the data is being exchanged**

SOLUTIONS IMPLEMENTED (2/5)

D2D Protocol Specification

Step 1: HCP app gets the advertised connection request

Step 2: S-EHR app gets the connection's unique session identifier

Step 3: HCP app gets the connection's unique session identifier (verification)

Step 4: S-EHR app gets the Healthcare Organization identity

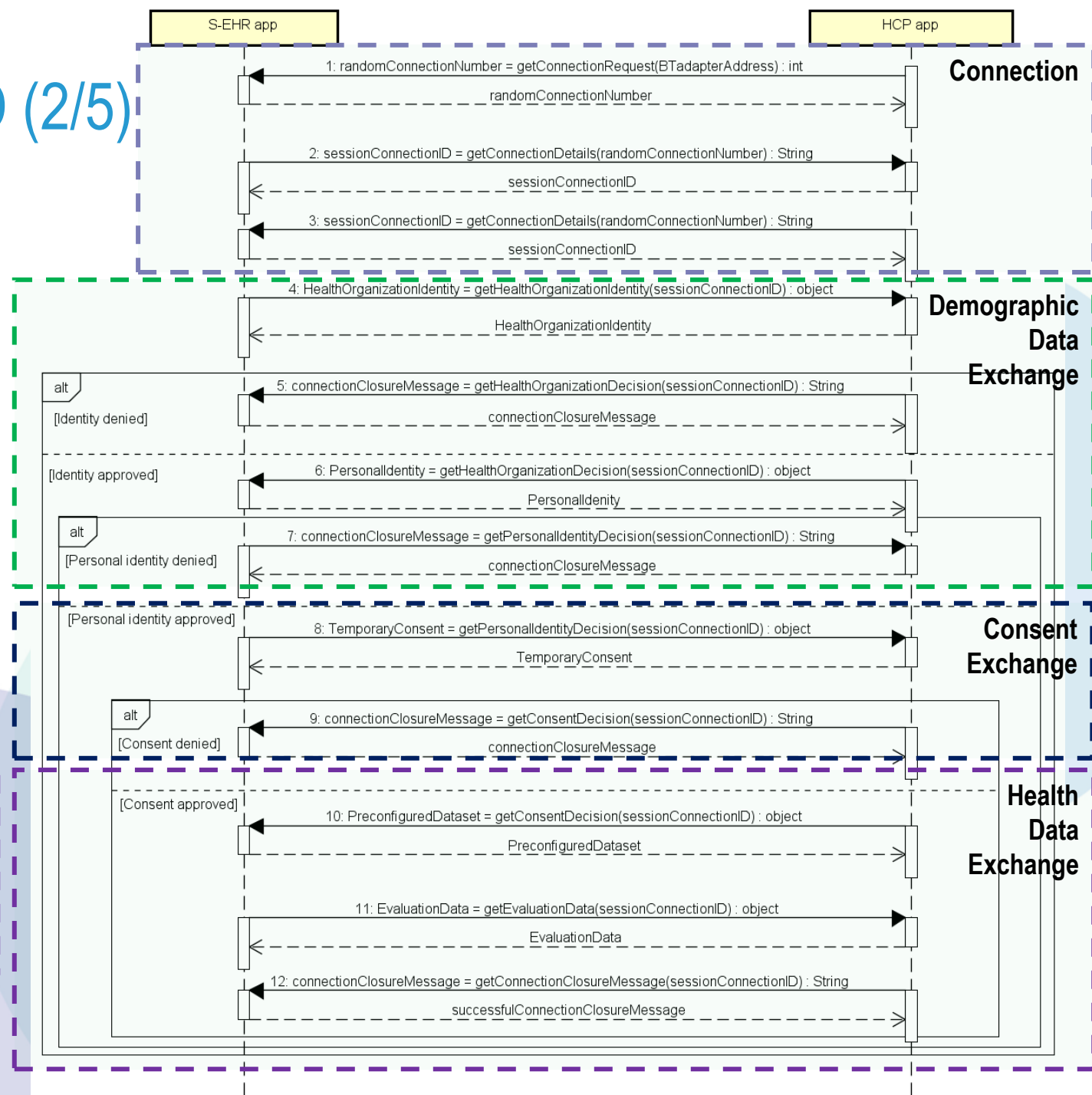
Step 5-6: HCP app gets the decision from the side of the S-EHR app (possibly including Personal Identity)

Step 7-8: S-EHR app gets the decision from the side of the HCP app (possibly including Temporary Consent)

Step 9-10: HCP app gets the consent decision from the side of the S-EHR app (possibly including Patient Summary)

Step 11: S-EHR app gets evaluation data

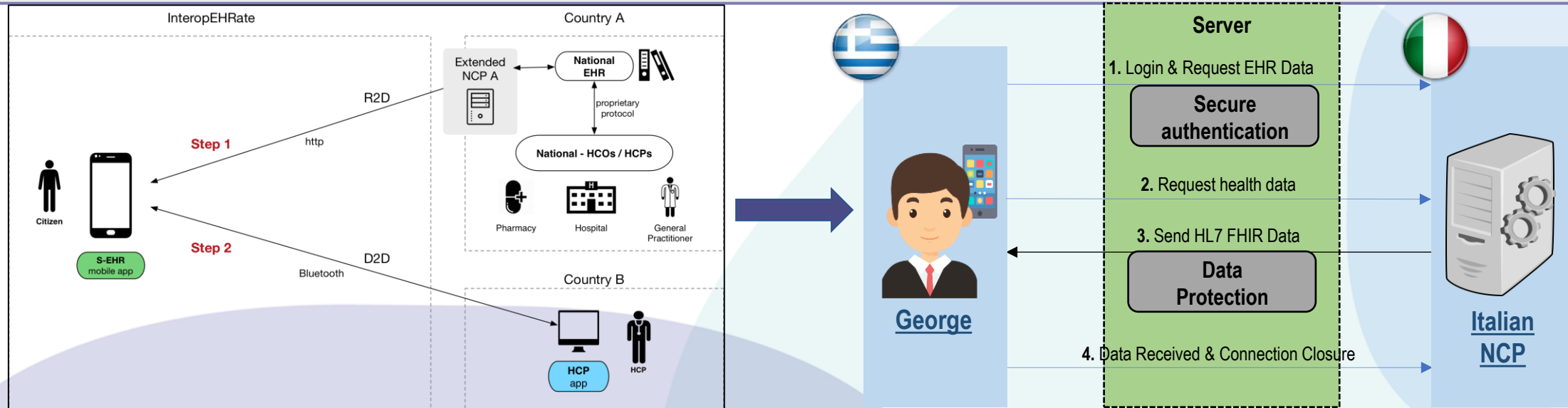
Step 12: HCP app gets the connection closure message



SOLUTIONS IMPLEMENTED (3/5)

R2D Protocol Specification

Abstract operations and their corresponding concrete operations based on **eHDSI API** and **FHIR API** (R2D extends existing standards) and **eIDAS**, for remote data exchange.



Novelties:

- **Allow Patients / Citizens** to download their health data from the **NCP** of their country.
- **Adopt the same standard authentication mechanism (eIDAS)** for any EU country.
- **Citizens may choose their preferred S-EHR app independently from the country** (i.e. a vendor may offer the same app to different countries).

SOLUTIONS IMPLEMENTED (4/5)

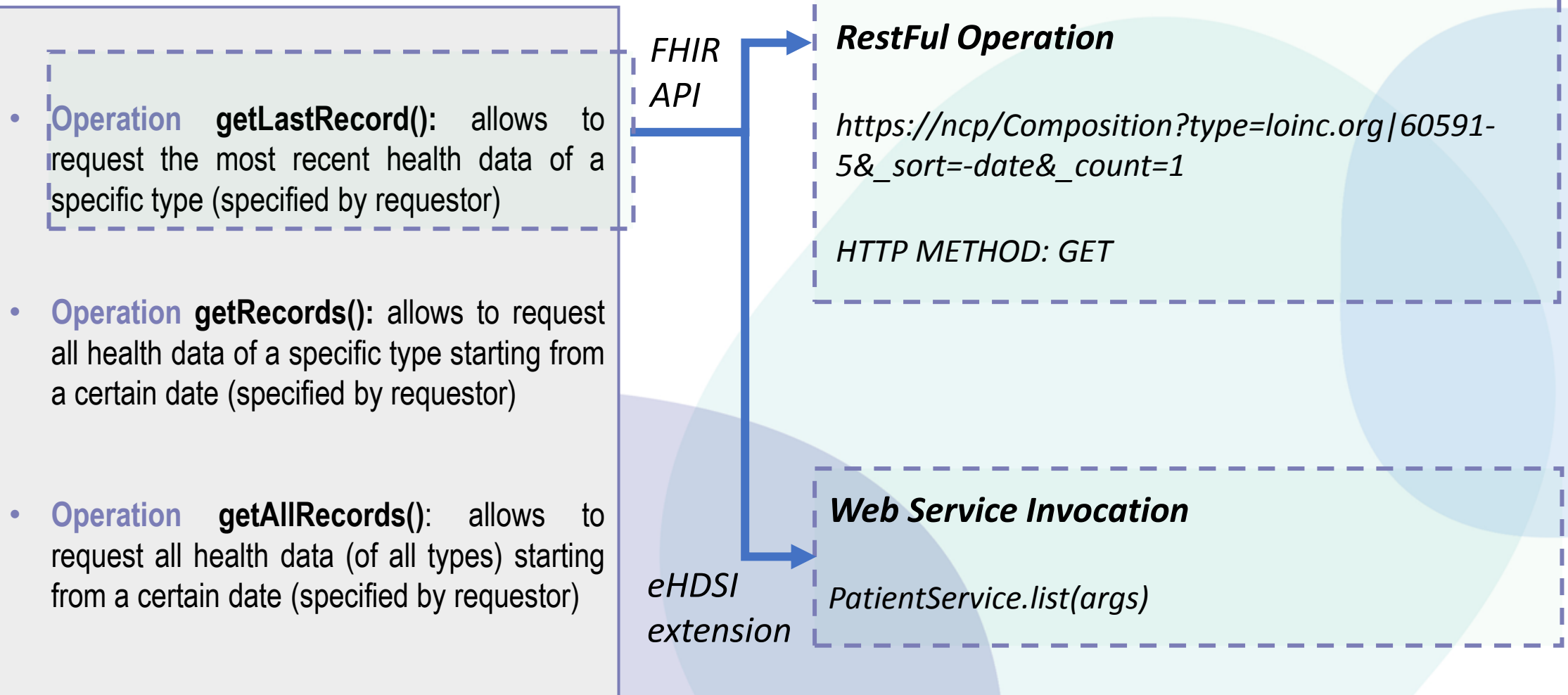
R2D Protocol Specification

How a NCP can adopt R2D

- **Extending the current eHDSI** interaction mechanism **allowing EU Citizens to authenticate to a NCP** (with restricted access only to their data).
- **Providing a FHIR API** (to the NCP) in order to:
 - **Adhere to a widely adopted standard**
 - **Provide additional operations beyond those provided by the eHDSI protocol**

SOLUTIONS IMPLEMENTED (5/5)

R2D Protocol Specification



CONCLUSIONS

SOLVED CHALLENGES

- **Specification** of **new data exchange protocols for different cases (i.e. D2D, R2D)**
- Worked within the **bounds** of a **concrete implemented example** tackling specified requirements
- Difficulties in **identifying the short-range distance communication technology**
- Exchange **HL7 FHIR resources through Bluetooth** (patient, practitioner, organization)
- **Serialization of healthcare information** based on **Bluetooth**

With D2D

- **Citizens** can **receive** on their (S-EHR) app, without internet, **evaluation data from healthcare providers**
- **HCPs** can **access**, without internet, to **health data** that **Citizens** bring on their S-EHR
- **Citizens** and **HCPs** are **not tied to specific application** vendors
- **Citizens** are **in control** of whom they exchange health data with

With R2D

- **Citizens** are able to **directly download** their personal healthcare data through a NCP
- Support of **FHIR API language**, FHIR data model and data representation

NEXT STEPS

GOALS

- **Updates on D2D and R2D Libraries' APIs specification**
- **Testing** with different short-range distance communication technologies (e.g. WiFi Direct)
- Communication between **S-EHR app and S-EHR Cloud**/ communication between **S-EHR cloud and Hospitals**
- **Redesign** of specific operations on exchanging health data (based on feedback and testings)
- **Make the D2D and R2D libraries' APIs more similar**

Thank you!

Q&A time.

